

## ANTHONY J. LUPPINO

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### **CURRENT POSITION**

#### **Professor of Law, named Rubey M. Hulen Professor of Urban Affairs**

UMKC School of Law

(Associate Professor Fall, 2001-Fall, 2010; awarded tenure effective September 1, 2005; promotion to Full Professor effective September 1, 2010)

**Director, Entrepreneurship Programs, UMKC School of Law**

**Senior Fellow, UMKC Regnier Institute for Entrepreneurship & Innovation**

### **LAW SCHOOL COURSES CURRENTLY TAUGHT**

#### *Individually Taught:*

- Business Organizations
- Partnership Taxation I
- Partnership Taxation II/Advanced Partnership Taxation

#### *Team Taught with Other UMKC Faculty Members):*

- Entrepreneurial Lawyering: Solo & Small Firm Institute Course and Workshops
- Entrepreneurship & New Venture Creation (an interdisciplinary course with law, business, engineering and other students)
- Transactional Lawyering Skills Lab
- Social Entrepreneurship Ventures
- Law, Technology & Public Policy

### **OTHER CURRENT LAW TEACHING**

- **Project Team Mentor** in UMKC interdisciplinary Entrepreneurial Urban Development course
- **Participating Instructor**, UMKC Legal Context of Real Estate Course (offered in Masters in Entrepreneurial Real Estate Program at Bloch School of Management)
- **Module Instructor**, USASBE Social Entrepreneurship Certificate Program (Co-instructor of Legal Issues in Social Entrepreneurship Module, 2012-present)

- **Module Instructor**, USASBE Technology Entrepreneurship Certificate Program (Co-instructor of materials related to Legal Issues in Technology Entrepreneurship Module, 2015-present)
- **Resource Sessions Instructor**, UMKC Regnier Institute for Entrepreneurship & Innovation E-Scholars Program; Instructor of various legal issues resource sessions

## **COURSES FORMERLY TAUGHT**

### ***Individually Taught:***

- Business Planning
- Securities Regulation

### ***Team Taught:***

- Entrepreneurship Boot Camp (teaching business organizations and securities law concepts to students outside of the law school being taught other subjects by a team of business school and law school faculty)
- Entrepreneurial Legal Services Clinic (w/Clinic Directors)
- Legal Strategies for Business Management (EMBA course team taught with various regular and adjunct Law School faculty)
- Social Venture Creation (with Profs. Hoyt, Merrick and others)
- Special Topics in Business Practice (mini-term course taught with other business and tax law faculty)

## **PRIOR LAW SCHOOL TEACHING EXPERIENCE**

**Adjunct Professor**, UMKC School of Law  
Taught Partnership Taxation, 1994-2000

## **LAW PRACTICE EXPERIENCE**

**Member**, Lewis, Rice & Fingersh, L.C., Kansas City, Missouri, 1994 to 2001

**Partner**, Lewis, Rice & Fingersh, Kansas City, Missouri, 1989 to 1994

**Partner**, Brown, Koralchik & Fingersh, Overland Park, Kansas, 1988 to 1989

**Associate**, Brown, Koralchik & Fingersh, Overland Park, Kansas, 1986 to 1988

**Associate**, Herrick and Smith, Boston, Massachusetts, 1982 to 1986

Practice included a wide variety of work on transactional engagements involving multiple disciplines (including business organizations, tax, securities, real estate and other laws), as well as estate planning. Served for several years as Head of the Lewis, Rice & Fingersh Kansas City office Business, Tax, and Estate Planning Department.

## **EDUCATION**

LL.M. in Taxation, Boston University School of Law, 1986  
Member and Articles Editor, Boston University Journal of Tax Law

J.D., Stanford Law School, 1982  
Member and Associate Editor, Stanford Law Review

A.B., Dartmouth College, *magna cum laude*, 1979  
*Phi Beta Kappa*

## **GRANTS (PI or Co-PI)/AWARDS/SPECIAL RECOGNITION**

**University of Missouri System Entrepreneurship Educator of the Year Award**  
(2017, inaugural recipient of that Award)

**Grant from Ewing Marion Kauffman Foundation** for “Legal Technology Laboratory” (2017)

**Grant from Ewing Marion Kauffman Foundation**, supervised Entrepreneurship Fellow engaged with the grant funding in development of proposal for Network of Entrepreneurial Law & Policy Educators (2015/2016)

**Grants from Ewing Marion Kauffman Foundation**, for Law Schools, Technology & Access to Justice Conferences, with Dean Ellen Suni & Prof. Michael Robak (2015 and 2014)

**Grant from Ewing Marion Kauffman Foundation**, along with co-investigators John Norton and Malika Simmons to produce research and paper regarding U.S. immigration law issues for foreign student entrepreneurs (2012)

**Marvin Lewis Rich Faculty Scholar** award for excellence demonstrated by a body of work over the prior three years, integrating scholarly achievement and teaching, which focuses on a coherent theme (2010) (theme was law and entrepreneurship)

**UMKC Nominee** (one of two) for UM System Faculty Entrepreneur of the Year Award (2008)

**Grant from Ewing Marion Kauffman Foundation** to study the education of law students and lawyers across the United States to prepare them to be effective counselors to entrepreneurs (2007)

**Law Alumni Association Outstanding Professor Award, as recognized by the UMKC Law School Class of 2005** (2005)

**Kauffman Entrepreneurial Faculty Scholar** (2-year appointment, 2004-2005)

**Elmer F. Pierson Excellence in Teaching Award** (2004)

**Daniel L. Brenner Faculty Publishing Award** (for best publication by a member of the UMKC Law School Faculty, 2003-2004)

## **SERVICE ACTIVITIES**

### **UMKC-wide Positions:**

**Member**, Academic Portfolio Review Committee (2016-present)

**Member**, UMKC Faculty Senate and Faculty Senate Budget Committee (2002-present), and **Chair** of UMKC Faculty Senate Budget Committee (September 2005-2016)

**Ex Officio Member**, UMKC 2020 Task Force (2010-present)

**Member**, UMKC University Budget Committee (2006-2016); **Secretary**, University Budget Committee (2007-2016)

**Co-Chair**, Administrative & Support Services Subcommittee of UMKC 2020 Task Force and UMKC University Budget Committee (2010-2015)

**Co-Chair**, UMKC Support Costs Review Committee (2008-2010)

**Co-Chair**, UMKC Budget Advisory Committee (2005-2006)

**Member**, Design Team for Entrepreneurship Boot Camp course, UMKC Institute for Entrepreneurship & Innovation (2005-2006)

**Member**, Student Incubator Admissions Advisory Board, UMKC Institute for Entrepreneurship & Innovation (predominantly 2006-2007)

**Member**, Rules Compliance Subcommittee of UMKC National Collegiate Athletics Association Re-Certification Committee (predominantly 2007)

*Ex Officio* Member, UMKC Athletics Budget Committee, 2008-present

**Chairman Pro Tempore**, UMKC Research Dishonesty Committee (2004)

### **UMKC School of Law Positions:**

**Lead Editor**, Entrepreneurship Law website (administered by Law School and “Powered by” Ewing Marion Kauffman Foundation (2016-present)

**Chair/Director**, UMKC School of Law School Entrepreneurship Strategic Planning Committee/Entrepreneurship Programs (2012-present)

**Member**, Law School Blue Ribbon Task Force for University Trustees project (2006-2007)

**Faculty Supervisor**, UMKC Law School student internships at University of Kansas (Lawrence Campus) Office of Technology Transfer (2005-2009) and UMKC Office of Research Services, Intellectual Property Management (2007)

**Co-Coach**, UMKC ABA Negotiation Competition Teams (2001-present)

**Coach**, UMKC Transactional Lawyering Meet Team (2011-present)

**Co-Coordinating Faculty Master**, Inns of UMKC Law School (2003-2009)

**Co-Faculty Advisor** to student-run Transactional Lawyering Skills Board (2013-present)

**Member** of various Law School Committees

**Other Notable Service:**

**Member**, Kansas City Digital Inclusion Coalition (2016-present)

**Member**, KC Digital Drive U.S. Ignite Steering Committee (2016-present)

**Member**, Governance Committee of KC Region Platforms for Advanced Wireless Research group (2016-present)

**Member of Executive Committee**, Transactional Law & Skills Provisional Section of Association of American Law Schools (application for regular section status pending) (2014-2016)

**Member of Planning Committee** for annual Transactional Clinical Conference several times (most recently for the June, 2017 Conference)

**Appointed Vice President-Certificate Programs**, United States Association for Small Business & Entrepreneurship (2015 and 2016); also **Member** of USASBE Technology Entrepreneurship Certificate Program Curriculum Committee (2014-present)

**Appointed Vice President-Events/Programs**, United States Association for Small Business & Entrepreneurship (2014)

**Chair**, Law & Entrepreneurship Special Interest Group of United States Association for Small Business & Entrepreneurship (January 2011-January 2012) and **Co-Chair** of that Special Interest Group (2014)

**Lead Editor**, Ewing Marion Kauffman Foundation's Entrepreneurship Law website (2008-2016)

**Non-Affiliated Member**, University of Kansas (Lawrence Campus) Conflict of Interest Committee (2005-2016)

## **PUBLICATIONS IN LAW REVIEWS/JOURNALS**

Conference Paper from Ewing Marion Kauffman Foundation New Entrepreneurial Growth Conference (June 2015), *How Law Schools Can and Should be Involved in Building Ecosystems that Foster Innovation, Entrepreneurship and Growth*, available online at <http://www.kauffman.org/neg/section-7#howlawschoolscanandshouldbeinvolvedinbuildingecosystemsthatfosterinnovationentrepreneurshipandgrowth> (2016)

Article, co-authored with John Tyler, Evan Absher and Kathleen Garman, *Producing Better Mileage: Advancing the Design and Usefulness of Hybrid Vehicles for Social Business Ventures*, 33 Quinnipiac L. Rev. 235 (2015)

Essay, *Incubating Solo and Small Law Firm Entrepreneurs*, published in on-line version of The Ohio State Business and Entrepreneurial Law Journal (2014)

Edited transcript of presentation on Interdisciplinary Transactional Courses as one of four panelists on that subject at conference on Transactional Education: What's Next? at Emory Law School (Atlanta, Ga.; June, 2010), published in Special Report of The Tennessee Journal of Business Law, 12 Transactions Tenn. J. Bus. L. 101 (2011)  
Introduction to *Symposium: Law, Entrepreneurship & Economic Recovery*, 78 UMKC L. REV. 319 (2009)

Article, *Fixing a Hole: Eliminating Ownership Uncertainties to Facilitate University-Generated Innovation*, 78 UMKC. L. REV. 367 (2009)

Essay, *A Little of This, A Little of That: Potential Effects on Entrepreneurship of the McCain and Obama Tax Proposals*, 31 W. New Eng. L. Rev. 717 (2009)

Edited transcript of presentation on interdisciplinary entrepreneurship education as one of three panelists addressing *Pedagogic Techniques: Multi-disciplinary Courses; Annotated Document Review, Collaborative Work & Large Groups* at conference on Teaching Drafting and Transactional Skills: The Basics and Beyond at Emory Law School (Atlanta, Ga.; May30/31, 2008), published in Special Report of The Tennessee Journal of Business Law, 2009 TRANSACTIONS TENN. J. BUS. L. 73.

Article, *Minding More Than Our Own Business: Educating Entrepreneurial Lawyers Through Law School-Business School Collaborations*, 30 W. NEW ENG. L. REV. 151 (2007)

Article, *Multidisciplinary Business Planning Firms: Expanding the Regulatory Tent Without Creating A Circus*, 35 SETON HALL L. REV. 109 (2004)

Article, *Stopping the Enron End-Runs and Other Trick Plays: The Book-Tax Accounting Conformity Defense*, 2003 COLUM. BUS. L. REV. 35

Article, *Effects of Property vs. Services Analysis on Tax Consequences of Development Fees in Limited Partnership Real Estate Investments*, 4 BOSTON UNIVERSITY JOURNAL OF TAX LAW 1 (1986).

Note, *Supplementing the Functional Text of Prosecutorial Immunity*, 34 STANFORD LAW REVIEW 487 (1982).

### **OTHER WRITINGS OF NOTE**

Co-Author with John Tyler, Evan Absher and Kathleen Garman, *Purposes, Priorities and Accountability Under Social Business Structures: Resolving Ambiguities and Enhancing Adoption*, accepted for publication as chapter in book ADVANCES IN ENTREPRENEURSHIP, FIRM EMERGENCE AND GROWTH, (Edited by Jerome Katz and Andrew C. Corbett, Emerald Group Publishing Limited, 2017)

Book (co-authored with Thomas C. Brown) HOW TO BE YOUR OWN LAWYER... WITHOUT BEING A FOOL: A PRACTICAL GUIDE FOR THE ENTREPRENEUR WHO WANTS TO SAVE TIME AND MONEY THROUGH INFORMED SELF-HELP, PREPARATION, AND THE EFFICIENT USE OF LEGAL COUNSEL (2014)

Co-editor (and co-author of Introduction) with Robert Litan, Director of Research at Bloomberg Government, Edward Elgar Publishing Book/Research Collection on LAW AND ENTREPRENEURSHIP (2013)

Kauffman Paper, co-authored with John Norton and Malika Simmons, *Reforming Immigration Law to Allow More Foreign Student Entrepreneurs to Launch Job Creating Ventures in the United States* (Law School/IEI collaboration published by Kauffman Foundation August 9, 2012)

Book Chapter, *The Value of Lawyers as Members of Entrepreneurial Teams* for Edward Elgar Publishing HANDBOOK ON LAW, INNOVATION AND GROWTH edited by Robert Litan of the Ewing Marion Kauffman Foundation and Brookings Institute (2011)

Research Report, *Can Do: Training Lawyers to be Effective Counselors to Entrepreneurs*, Report to Ewing Marion Kauffman Foundation (2007/2008)

### **SOME RECENT PRESENTATIONS AT ACADEMIC & OTHER CONFERENCES**

Co-Presenter and Panelist on Smart Cities data handling and privacy issues sessions at Gigabit City Summit (Kansas City, MO, 2017)

Co-Panelist on Legal Technology Laboratory session at Transactional Clinic Conference (Philadelphia, PA, 2017)

Co-Presenter or Moderator of three sessions on legal issues in social entrepreneurship and social entrepreneurship ecosystem building at Midwest Symposium on Social Entrepreneurship (Kansas City, MO, 2017)

Panelist in session at Access to Justice: Incubators, Residencies, Apprenticeships and Non-profit Law Firms Conference hosted by Texas A&M University School of Law (Ft. Worth, TX, 2017)

Presenter/panelist at various Smart Cities events hosted by KC Digital Drive or Think Big Partners (Kansas City, MO, 2016 and 2017)

Presenter at International Legal Hackers International Summit (Brooklyn, N.Y., July 16, 2016)

Panelist on Technology, Law, and Public Policy at Gigabit City Summit in (Kansas City, MO, May 17, 2016)

Presenter at MetroLab Network Summit (San Diego, CA, May 10, 2016)

Presenter/panelist on various subjects at annual conferences of Consortium for Access to Justice through Incubators and Non-Profit Law Firms (2015, 2016, and 2017)

Panelist on legal technology session at Transactional Clinical Conference (Baltimore, MD, April 29, 2016)

Moderator of panel on Hybrid Business Organizations for Social Entrepreneurship, Annual Conference of United States Association for Small Business and Entrepreneurship (Tampa FL, January 24, 2015)

Moderator of panel on Crowdfunding, The JOBS Act, and Related Impact on Entrepreneurship Education, Annual Conference of United States Association for Small Business and Entrepreneurship (Fort Worth, TX, January 10, 2014)

Panelist on Legal Assistance to Student Ventures Near and Far, pre-conference session at Annual Conference of United States Association for Small Business and Entrepreneurship (Fort Worth, TX, January 9, 2014)

Panelist on interdisciplinary teaching, Southeastern Association of Law Schools conference (Palm Beach, FL; August 8, 2013)

Co-presenter of workshop on Legal Issues in Social Entrepreneurship at Midwest Symposium on Social Entrepreneurship (Kansas City, MO; May 2013, 2014, 2015, and 2016)

Presentation on expanding the reach of Entrepreneurial Legal Services Clinic through administration of *pro bono* panel to provide start-up legal services to student entrepreneurs



at Twelfth Annual Meeting of Law School Transactional Clinics (Austin, TX; April 6, 2013)

Panelist on presentation regarding law school solo/small law firm incubators at Ohio State Entrepreneurial Business Law Journal Symposium on Business Incubators (Columbus, OH; March 30, 2013)

Co-presenter (with John Tyler, General Counsel of Kauffman Foundation) on legal issues workshop for students in Kauffman Global Entrepreneurial Scholars Program (Kansas City, MO; February 11, 2013)

Co-presenter (with Malika Simmons and John Norton) of USASBE conference workshop on immigration law reforms proposed in co-authored paper *Reforming Immigration Law to Allow More Foreign Student Entrepreneurs to Launch Job Creating Ventures in the United States* (San Francisco, CA; January 11, 2013)

Presentation at pre-conference on Gauging Potential Interest in USASBE Teaching Certificate or Other Sequential Training Program in Law and Entrepreneurship (San Francisco, CA; January 10, 2013)

Panelist on presentation on Teaching Law for Entrepreneurs: Different Approaches, Best Practices at Academy of Legal Studies in Business annual conference (Kansas City, MO.; August 11, 2012)

Presentation at pre-conference on Legal Issues for Student Inventors/Student Entrepreneurs at USASBE annual conference (New Orleans, LA; January 12, 2012)

Presentation on best practices in collaborative entrepreneurship teaching at conference on The Entrepreneurial University at Western New England University (Springfield, MA; October 14, 2011)

Presentation on application of university intellectual property policies to student inventors and law school involvement in educating student entrepreneurs on legal issues at annual conference of Canadian Council on Small Business & Entrepreneurship (Windsor, Ontario; September 29, 2011)

Presentation on initiatives in student technology transfer internships and getting legal advice to student inventors/student entrepreneurs at Tenth Annual Meeting of Law School Transactional Clinics (Chicago, IL; April 16, 2011)

Organized and participated in Law & Entrepreneurship pre-conference at USASBE annual conference (Hilton Head, S.C.; January 13, 2011)

Panelist on interdisciplinary law and entrepreneurship education and resources at Annual Conference of Academy of Legal Studies in Business (Richmond, VA.; August 5, 2010)

Panelist on interdisciplinary law-business teaching at conference on Transactional Education: What's Next? at Emory Law School (Atlanta, GA.; June 4/5, 2010)

Panelist on presentation regarding Kauffman Foundation law, entrepreneurship and innovation "eLaw" website at Transactional Law Clinic Annual Conference and Workshop at George Washington University School of Law (Washington, DC; April, 2009)

Panelist at Western New England College School of Law Conference on Entrepreneurship & Community Economic Development: Entrepreneurship in a Global Economy (Springfield, MA; October, 2008)

Panelist at conference on Teaching Drafting and Transactional Skills: The Basics and Beyond at Emory Law School (Atlanta, GA.; May30/31, 2008)  
Presentation to group of legal educators from approximately twelve U.S. law schools of report to Ewing Marion Kauffman Foundation on training of lawyers to be effective counselors to entrepreneurs, and participation in related discussions with group and Kauffman Foundation representatives (Kansas City, MO; March 2008)

Panelist on presentation regarding law school/business school collaborations at Business Associations section meeting at Annual Conference of American Association of Law Schools (Washington, D.C.; January 2006)

Organized and moderated panel discussion on Interdisciplinary Education Initiatives, conference of Transactional Law Clinics hosted by UMKC, with the support of a grant by the Kauffman Foundation (Kansas City, MO; April 2005)

Panelist on presentation regarding the Kauffman Entrepreneurial Faculty Scholars Program at Annual Conference of United States Association for Small Business and Entrepreneurship (Palm Desert, CA; January 2005)

Panelist on presentation regarding Interdisciplinary Education, conference of Transactional Law Clinics hosted by University of Pennsylvania School of Law (Philadelphia, PA; April 2004)

Discussion leader on "Considerations in Establishing Relationships among Attorneys, Clients and Their Accountants and Other Professionals," Entrepreneurial Legal Education Workshop, sponsored by Kauffman Foundation (Kansas City, MO; April 2002)

### **RECENT PRESENTATIONS AT PROFESSIONAL CLE SEMINARS**

Frequent speaker at professional continuing legal education seminars on business and tax subjects, including, as recent examples:

Presenter of Partnerships and Partners segment of seminar on Recent Developments in Federal Tax Law (Kansas City, MO; January or February 2014, 2013, 2012, 2011, 2010, 2009, 2008 and 2007)

Panelist on Entrepreneurial Counseling at Kansas City Metropolitan Bar Association seminar (Kansas City, MO; April 4, 2012)

Presentation on Selected Federal Income Tax Aspects of Equity Structures for High Growth Entrepreneurial Ventures at Kansas City Metropolitan Bar Association seminar (Kansas City, MO; March 1, 2012)

Presenter of Hot Topics in Partnership Taxation at seminar for Tax Section of Kansas City Metropolitan Bar Association (Kansas City, MO; July, 2009).

Presenter of Business Law Formation & Dissolution Tips segment of seminar on hot topics for recently-admitted lawyers (Kansas City, MO; October, 2008).

### **PROFESSIONAL MEMBERSHIPS**

Kansas State Bar and Kansas Bar Association  
Massachusetts State Bar (inactive)  
Missouri State Bar and Kansas City Metropolitan Bar Association  
United States Court of Appeals, 1<sup>st</sup> Circuit  
United States District Court, D-Kansas (inactive), D-Mass.  
United States Tax Court  
American Bar Association